Cantor Equicontinuous Factors of the Coven Cellular Automaton of Three Neighbours

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## Plan

#### 1 Preliminaries

- Cantor Systems
- Cantor Equicontinuous Systems
- Cantor Equicontinuous Factors

#### 2 Sufficient Condition (Main Property)

- 3 Coven Cellular Automaton
- 4 Conclusion

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- Cantor Systems

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- $A^{\mathbb{Z}}$  is the configuration space.
- $d(x, y) = 2^{-\min\{|i| \in \mathbb{Z} \mid x_i \neq y_i\}}$  is the Cantor metric.

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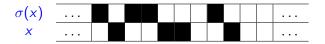
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 $(A^{\mathbb{Z}}, F)$  is a cellular automaton (CA) if there exist two integers  $r_{-} \leq r_{+}$  and a local rule  $f : A^{\mathbf{r}_{+}-\mathbf{r}_{-}+1} \to A$  such that  $\forall x \in A^{\mathbb{Z}}, \forall i \in \mathbb{Z}, F(x)_{i} = f(x_{i+\mathbf{r}_{-}}, \dots, x_{i+\mathbf{r}_{+}}).$ 

•  $u \in A^n$ , a cylinder of u is  $[u]_j = \{x \in A^{\mathbb{Z}} | x_{[j,j+n[]} = u\}$ . •  $(A^{\mathbb{Z}}, \sigma)$  is the shift if  $\forall x \in A^{\mathbb{Z}}, \forall i \in \mathbb{Z}, \sigma(x)_i = x_{i+1}$ .



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- Cantor Systems

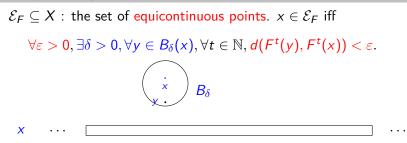
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- $A^{\mathbb{Z}}$  is a Cantor space : perfect and totally disconnected.
- A subshift is a closed  $\sigma$ -invariant subset  $\Sigma \subseteq A^{\mathbb{Z}}$ .

#### $\mathcal{E}_F \subseteq X$ : the set of equicontinuous points. $x \in \mathcal{E}_F$ iff

 $\forall \varepsilon > 0, \exists \delta > 0, \forall y \in B_{\delta}(x), \forall t \in \mathbb{N}, d(F^{t}(y), F^{t}(x)) < \varepsilon.$ 

Cantor Equicontinuous Systems

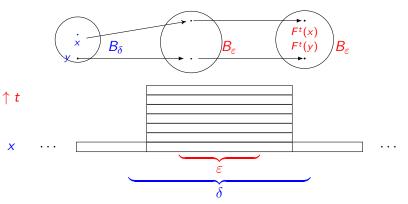




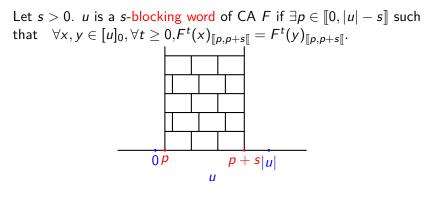
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*F* is equicontinuous if  $\mathcal{E}_F = X$ .



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Let s > 0. u is a s-blocking word of CA F if  $\exists p \in \llbracket 0, |u| - s \rrbracket$  such that  $\forall x, y \in [u]_0, \forall t \ge 0, F^t(x)_{\llbracket p, p+s \rrbracket} = F^t(y)_{\llbracket p, p+s \rrbracket}$ .

\* A Cantor system is equicontinuous iff all of its trace subshifts are finite.

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The trace of a Cantor system  $(A^{\mathbb{Z}}, F)$  is

$$T_{F}^{\llbracket -n,n\llbracket}: A^{\mathbb{Z}} \to (A^{2n+1})^{\mathbb{N}}$$

$$x \to (F^{t}(x)_{\llbracket -n,n\llbracket})_{t\in\mathbb{N}}$$

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Cantor Equicontinuous Factors

(Y, G) is a factor of a DS (X, F) by a map  $\Phi : X \to Y$  if  $\Phi$  is continuous, surjective and

$$\Phi \circ F = G \circ \Phi.$$

\* Every DS admits a maximal equicontinuous factor.

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A DS (X, F) is weakly mixing, if for any nonempty open sets  $U, V, U', V' \subseteq X$ ,  $\exists t \in \mathbb{N}, F^t(U) \cap U' \neq \emptyset$  and  $F^t(V) \cap V' \neq \emptyset$ .

\* A weakly mixing DS has no nontrivial equicontinuous factor.

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Proposition 1 : A DS F admits a nontrivial Cantor equicontinuous factor if and only if F admits a nontrivial finite factor.

Proof:

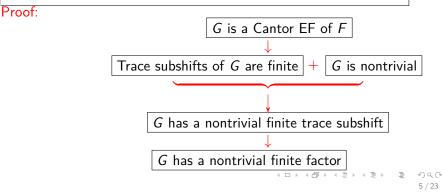
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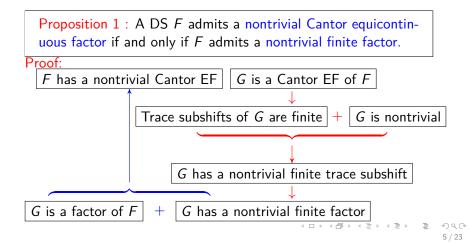
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### Plan

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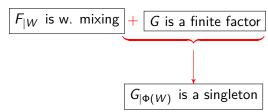
#### 2 Sufficient Condition (Main Property)

3 Coven Cellular Automaton

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**Proposition 2** : (Main Property) Let F be a surjective DS. If there exists a weakly mixing subsystem that intersects every nonempty strongly F-invariant clopen set, then F admits no nontrivial Cantor equicontinuous factor.

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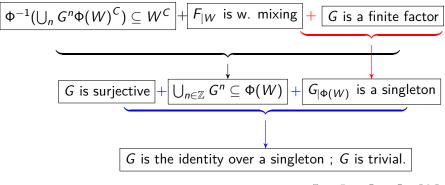


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$$\Phi^{-1}(\bigcup_{n} G^{n} \Phi(W)^{C}) \subseteq W^{C} + F_{|W} \text{ is w. mixing} + G \text{ is a finite factor}$$

$$\bigcup_{n \in \mathbb{Z}} G^{n} \subseteq \Phi(W) \quad G_{|\Phi(W)} \text{ is a singleton}$$

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## Plan

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#### 2 Sufficient Condition (Main Property)

- 3 Coven Cellular Automaton
  - Blocking Words
  - Clopen Sets Without Blocking Words
  - Clopen Sets With Blocking Words

#### 4 Conclusion

#### The Coven CA of three neighbours is

$$F: \{0,1\}^{\mathbb{Z}} \to \{0,1\}^{\mathbb{Z}} \text{ defined by } f: \{0,1\}^{3} \to \{0,1\} \text{ such that}$$
$$F(x)_{i} = f(x_{i} | x_{i+1} | x_{i+2}) = \begin{cases} x_{i} + 1 \mod 2 & \text{if } x_{i+1} = 1 \text{ and } x_{i+2} = 0 \\ x_{i} & \text{otherwise} \end{cases}$$

t+1	0	0	0	1	1	1	1	0
t	0 00	0 01	<mark>0</mark> 11	1 00	1 01	1 11	<mark>0</mark> 10	1 10

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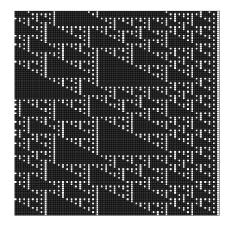


Figure: Space-time diagram of the Coven CA of three neighbours  $\uparrow$  *Time*. Os (resp. 1s) are represented by white squares (resp. black squares).

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- \* A chain-transitive DS with a fixed point is chain-mixing.
- \* A chain-mixing DS has no nontrivial (finite) periodic factor.

# $\Sigma_0 \cup \Sigma_1$ is the subshift $\Sigma_F$ , $F = \{01^{2k}0, k \in \mathbb{N}\}$ . $x \in \Sigma_0 \cup \Sigma_1$ iff in x, between each 2 successive zeros, there is an odd number of 1.

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#### Proposition 3 : Let $k \in \mathbb{N}$ . Then,

•  $01^{2k}0$  is a minimal 1-blocking word with offset 0.

Proof : By Induction.

■ In this CA, 00 is a minimal 1-blocking word.

 $F^{k}([01^{2k}0]) \subseteq [00].$ 

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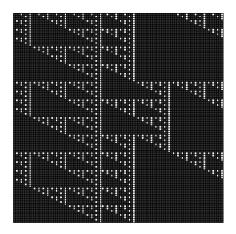


Figure: Diagram with the blocking word  $01^{14}0 \uparrow Time$ . Os (resp. 1s) are represented by white squares (resp. black squares)

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-Blocking Words

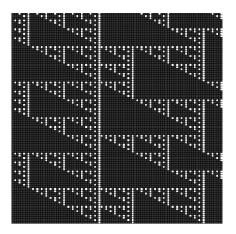


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### Proof : By Induction.

• Let  $a, b \in \{0, 1\}$ ,  $w \in \mathcal{L}(\Sigma_0)$ ,  $|w| = 2^n - 1$  and  $awb \in \mathcal{L}(\Sigma_0)$ .

$$F^{2^{n-1}}([awb]) \subseteq \left\{ egin{array}{ccc} [1] & if & a=b \ [0] & if & a
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So,  $x \in \Sigma_0 \cup \Sigma_1$  iff x is without blocking words.

Lemma 1: Let U be a strongly F-invariant clopen set. If  $U \cap (\Sigma_0 \cup \Sigma_1) \neq \emptyset$ , then U contains  ${}^{\infty}1^{\infty}$ .

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Proof : By Induction : Base Case.

Let U be a strongly F-invariant clopen set and U contains  $[u_0]_j, j \in \mathbb{Z}$ ,  $u_0$  contains a single zero. Let n > 1 and  $x \in [u_0]_j$ ,

$$x = 1^{\infty} \ 0 \ 1^{2^n - 1} \ 0 \ 1^{\infty}.$$

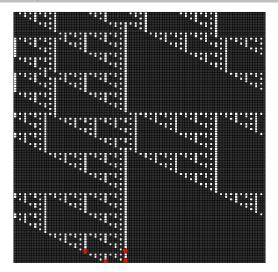


Figure: Base Case: Invariant clopen set contains a single zero  $\uparrow$  *Time*. Os (resp. 1s) are represented by white (red) squares (resp. black sq.).

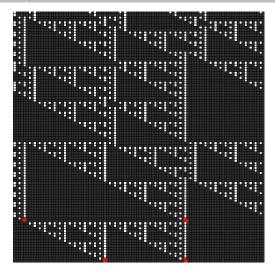


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**Proof** : By Induction : Base Case. Let U be a strongly F-invariant clopen set and U contains  $[u_0]_j, j \in \mathbb{Z}, u_0$  contains a single zero. Let n > 1 and  $x \in [u_0]_j$ ,

 $x = 1^{\infty} 0 1^{2^n - 1} 0 1^{\infty}.$ 

$$\implies F^{2^{n-1}}(x) = 1^{\infty} \ 0 \ 1^{2^{n+1}-1} \ 0 \ 1^{\infty}.$$

Thus,

$$F^{2^{n-1}}(x) \xrightarrow[n\to\infty]{} {}^{\infty}1^{\infty} \in U.$$

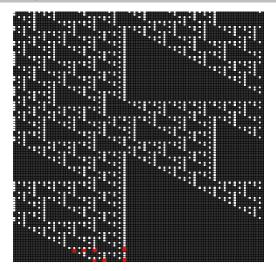


Figure: Induction Step: Invariant clopen set contains two zeros  $\uparrow$  *Time*. Os (resp. 1s) are represented by white (red) squares (resp. black sq.).

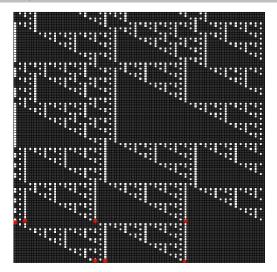


Figure: Induction Step: Invariant clopen set contains two zeros  $\uparrow$  *Time*. Os (resp. 1s) are represented by white (red) squares (resp. black sq.).

Lemma 1: Let U be a strongly F-invariant clopen set. If  $U \cap (\Sigma_0 \cup \Sigma_1) \neq \emptyset$ , then U contains  ${}^{\infty}1^{\infty}$ .

**Proof**: By Induction : Inductive Step. If U contains  $[u]_j$ ,  $u \in \mathcal{L}(\Sigma_0)$  and contains N + 1 zeros, u is of the form  $v01^{k_1}$ ,  $k_1 \ge 0$ , with v contains N zeros. Let  $x \in [u]_j$ .

 $x = 1^{\infty} v 0 1^{2^{n}-1} 0 1^{\infty}.$ 

 $\implies F^{2^{n-1}}(x) = 1^{\infty} \ 1^{2^n - 1 - |v|} \ v \ 0 \ 1^{2^n - 1 - |v|} \ v \ 1^{2^n} \ 0 \ 1^{\infty}.$ 

Thus,

$$F^{2^{n-1}}(x) \xrightarrow[n \to \infty]{}^{\infty} 1^{\infty} v^{\infty} 1^{\infty} \in U.$$

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Proposition 4 : Let U be a strongly F-invariant clopen set. Then,  $U \cap (\Sigma_0 \cup \Sigma_1) \neq \emptyset$ .

Proof : By Induction : Base Case. If U contains  $[u]_j$ , u contains a single minimal 1-blocking word.  $\exists v \in 0 \ 1 \ (21)^{k'_1} \ 0 \ 1^{2k_1} \ 0 \ 1 \ (21)^{k''_1} \ 0$ where  $k_1, k'_1, k''_1 \ge 0$ ,  $[v]_m \subseteq [u]_j$ . Let  $x \in [v]_m$ .  $x \in 1^{\infty} \ (21)^{2^{n-1}} \ 0 \ w \ 0 \ 1^{k'} \ w \ 0 \ 1^{\infty}$ . where  $w \in \mathcal{L}(\Sigma_0)$ ,  $|w| = 2^n - 1 - k'$  and  $01^{2k_1} 0 \sqsubseteq 0w0$ .

$$\implies F^{2^{n-1}}(x) \in \quad 1^{\infty} \ (21)^{2^n} \ 0 \ 1^{2^n} \ w \ 0 \ 1^{\infty}.$$

Thus,

$$F^{2^{n-1}}(x) \underset{n \to \infty}{\in} \quad (21)^{\infty} \ 0 \ 1^{\infty} \subseteq (\Sigma_0 \cup \Sigma_1).$$

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Proposition 4 : Let U be a strongly F-invariant clopen set. Then,  $U \cap (\Sigma_0 \cup \Sigma_1) \neq \emptyset$ .

**Proof** : By Induction : Inductive Step. If U contains  $[u]_j$ , u contains N + 1 minimal 1-blocking words,

 $\exists \ v = \ 0 \ v_1 \ 0 \ v_2 \ 0 \dots 0 \ v_N \ 0 \ v_{N+1} \ 0$ 

with  $[v]_m \subseteq [u]_j$  and  $0v_i0$  has a single minimal 1-blocking word,  $\forall i$ . Let  $x \in [v]_m$ .

 $\begin{aligned} & x \in \quad 1^{\infty} \ (21)^{2^{n-1}} \ 0 \ 1^{2k_1} \ 0 \ v_2 0 \dots 0 v_N \ 0 \ w \ 01^{k'} \ w \ 01^{2^n-1} 1^{\infty} \\ & \text{where} \ w \in \mathcal{L}(\Sigma_0), \ |w| = 2^n - 1 - k' \text{ and } 01^{2k_{N+1}} 0 \sqsubseteq 0w 0. \end{aligned}$ 

$$\implies {\it F}^{2^{n-1}}(x) \in \quad 1^{\infty} \,\, (21)^{2^n} \,\, {\it 0v}_1' {\it 0} \ldots {\it 0v}_N' \,\, {\it 0} \,\, 1^{2^n} \,\, {\it w} \,\, {\it 0} \,\, 1^{\infty}$$

Thus,

$$F^{2^{n-1}}(x) \underset{n \to \infty}{\in} (21)^{\infty} 0v_1' 0 \dots 0v_N' 0 1^{\infty}.$$

# Plan

## 1 Preliminaries

- 2 Sufficient Condition (Main Property)
- 3 Coven Cellular Automaton

# 4 Conclusion

The Coven CA of three neighbours satisfies the main property, therefore, it has no nontrivial Cantor equicontinuous factors.

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Questions :

Does a chain-mixing system have a nontrivial equicontinuous factor, which is not a Cantor system ?

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Questions :

- Does a chain-mixing system have a nontrivial equicontinuous factor, which is not a Cantor system ?
- Can a DS which has a nontrivial Cantor equicontinuous factor be chain-mixing ?