

# Reversible cellular automata in presence of noise rapidly forget everything!

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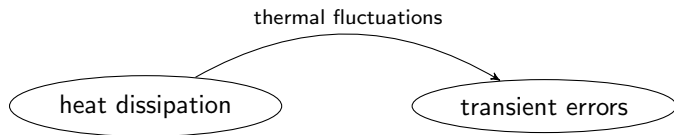
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# What this talk is about

- ▶ A fundamental problem with reversible computing
  - Hypersensitivity to external noise
    - Implicitly acknowledged by Bennett, Toffoli, ...
    - Theorem: The limitation can be quantified.
    - Interesting follow-up questions
- ▶ Information-theoretic argument
  - Evolution of entropy
  - A bootstrap lemma

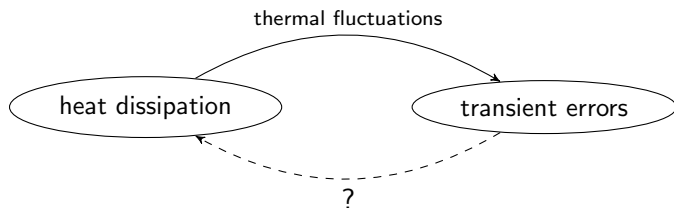
# Computation with physical components

Challenges in building (very small) computers



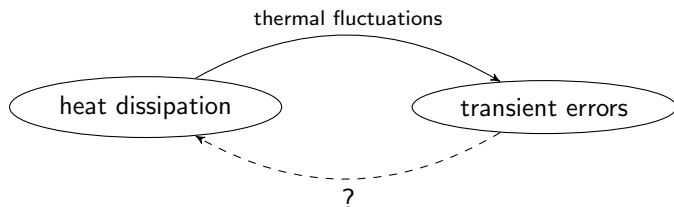
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## Warning

Our discussion will be limited to the classical setting!

[... although the quantum scenario is expected to be similar.]

# Reversible computing

## Landauer's principle (1961)

The amount of heat dissipated by erasing 1 bit of information is at least  $kT \ln 2$ .

## Bennett (1973, 1982, 1989)

Every computation can be *efficiently* simulated by a reversible computer.

## Fredkin and Toffoli (1982)

A reversible universal logic gate:



Fredkin gate

→ Google Scholar: 613,000 results (16,000 since 2021)

# Reliable computing in presence of noise

Shannon (1948):

Can we do reliable communication through a noisy channel?

Von Neumann (1952):

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**Solution:**

- ▶ For logic circuits: Yes, if we use logarithmic redundancy!  
[Von Neumann (1956), Dobrushin and Ortyukov (1977), Pippenger (1985)]
- ▶ ...
- ▶ For cellular automata: Yes, but the known solution is very sophisticated!  
[Toom (1974, 1980), Gács and Reif (1988), Gács (1986, 2001)]

# Reversible and reliable?

## Question

Can a reversible computer be reliable in the presence of noise?

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Aharonov, Ben-Or, Impagliazzo and Nisan (1996)

The polynomial-size **noisy reversible circuits**\* have the power of the complexity class  $\text{NC}^1$ . [Hence, exponential redundancy is needed!]

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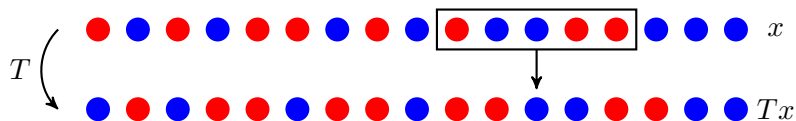
\* Noise is on the wires.

→ Problem: The graph of the circuit has exponential growth.

[Too many wires do not fit in limited space!]

→ A more convenient mathematical framework to study this question is the setting of **cellular automata**.

# Cellular automata (CA)

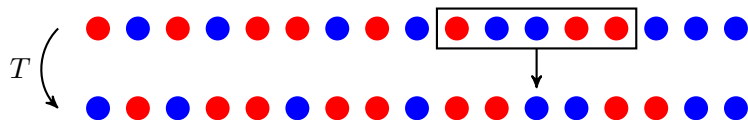


CA have “physics-like” features

- ▶ Finite number of possible states in each bounded region
- ▶ Local interactions [No action at a distance!]
- ▶ **Reversibility** and conservation laws can be easily implemented.
- ▶ **Noise** can be naturally incorporated.

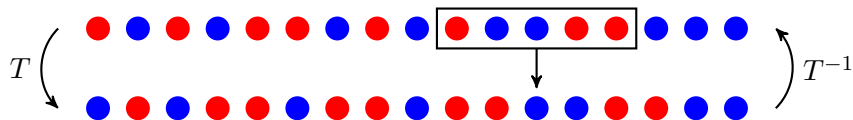
⇒ Convenient for mathematical reasoning about physical implementations of computation.

# Reversible CA



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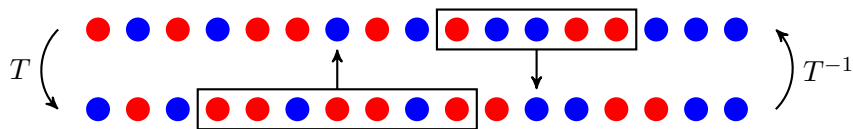
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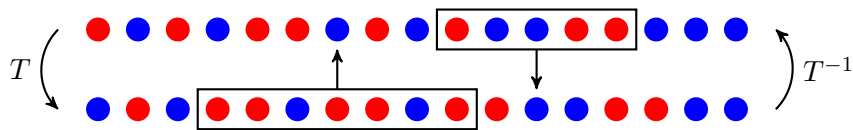
A CA is called **reversible** if

- (i)  $T$  is invertible,
- (ii)  $T^{-1}$  is also a CA.

[Redundant!]



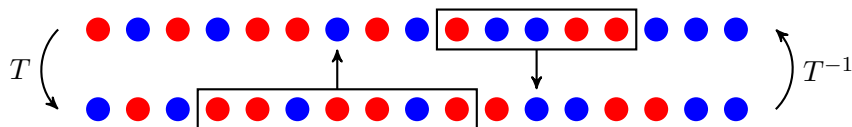
# Reversible CA



A CA is called **reversible** if

- (i)  $T$  is invertible,
  - (ii)  $T^{-1}$  is also a CA. [Redundant!]
- This notion of reversibility corresponds to (is more general than) the reversibility of the microscopic laws of physics.
- A non-reversible CA corresponds to a system which dissipates heat. [by Landauer's principle]

# Computing with reversible CA



Toffoli (1977)

Every  $d$ -dimensional CA can be simulated by a  $(d + 1)$ -dimensional reversible CA.

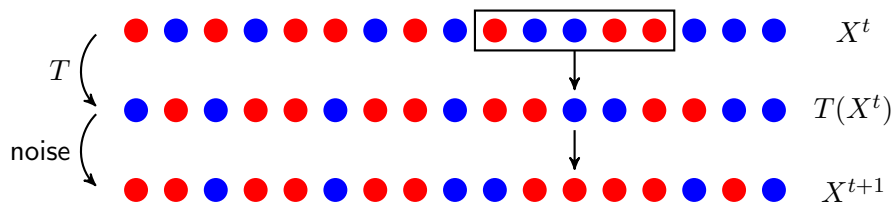
Margolus (1984)

There exists a simple computationally universal two-dimensional reversible CA (the **billiard ball model**).

Morita and Harao (1989), Dubacq (1995)

There exist simple and efficient computationally universal one-dimensional reversible CA.

## CA + noise



### Cellular automata subject to noise

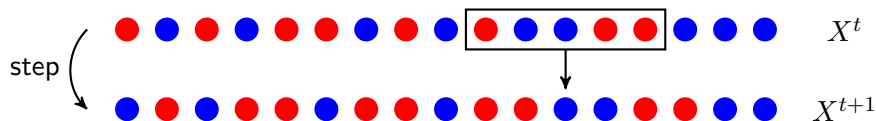
At each step,

- first, apply the deterministic CA,
- then, add noise **independently** at each site.

[Various models of noise possible!]

~> A special type of **probabilistic cellular automaton** (PCA).

# Probabilistic cellular automata (PCA)



PCA are similar to CA, except that

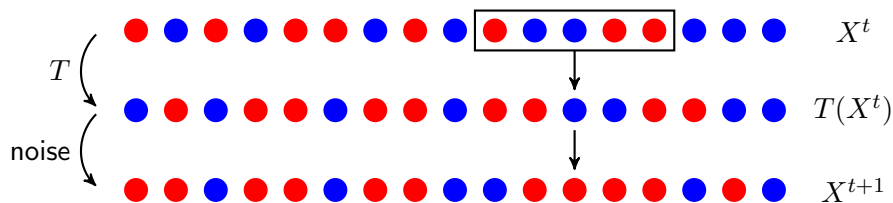
- ▶ The local rule is **probabilistic!** [Described by a stochastic matrix]
- ▶ Symbols at different sites are updated **independently**.

PCA are discrete-time Markov processes

- ▶ The state at time  $t$  is a **random configuration**  $X^t$ .
- ▶ The transition kernel has the **Feller property**.

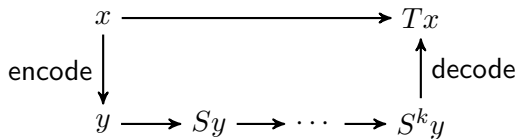
[Discrete-time variants of interacting particle systems]

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## Problem (Reliable simulation)

Can we “simulate” a CA  $T$  with another CA  $S$  that is “reliable against sufficiently weak noise”?



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A simpler prerequisite:

## Problem (Remembering a bit)

Find a CA that, in presence of sufficiently weak noise is cable of “remembering” at least 1 bit of information indefinitely!

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Precise formulation in the language of Markov processes:

## Problem (Ergodicity of noisy CA)

Find a CA that, in presence of sufficiently weak noise remains **non-ergodic**!

[Ergodicity: having a unique stationary measure that attracts every trajectory]



# Computing with noisy CA

## Problem (Reliable simulation)

Can we “**simulate**” a CA  $T$  with another CA  $S$  that is “reliable against sufficiently weak noise”?

## Toom (1974, 1980)

There exists a broad family of CA in **two and higher** dimensions that remain non-ergodic in presence of noise.

## Gács and Reif (1988)

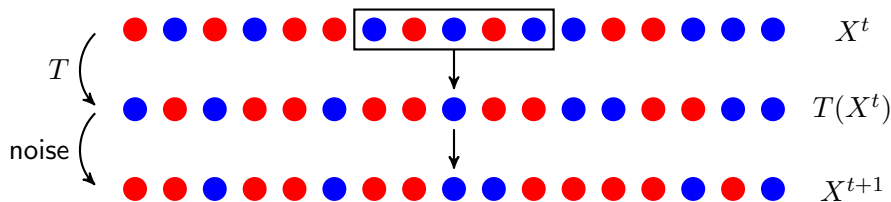
Every  **$d$ -dimensional** CA can be reliably simulated by a  **$(d + 2)$ -dimensional** CA. [3d reliable computer not practical!]

## Gács (1986, 2001)

There exists a **one-dimensional** intrinsically universal CA that is reliable in presence of noise!

[Very sophisticated construction with astronomical number of symbols!]

## Surjective CA + additive noise



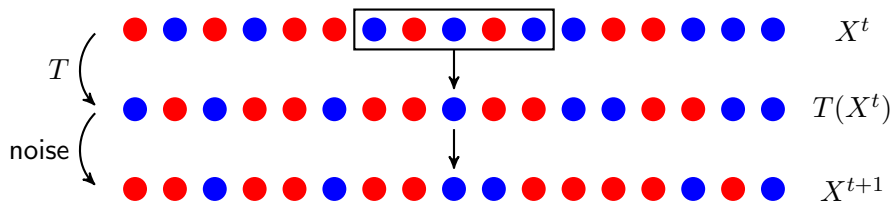
### Terminology

- ▶ Surjective CA: The global map  $T$  is **onto**.
- ▶ Additive noise: Noise **adds** a random value to current value, independently at each site. [modulo  $|\Sigma|$ ]

### Why care about surjective CA?

- ▶ Surjective CA include all **reversible** CA.  
[... and have some similar properties!]

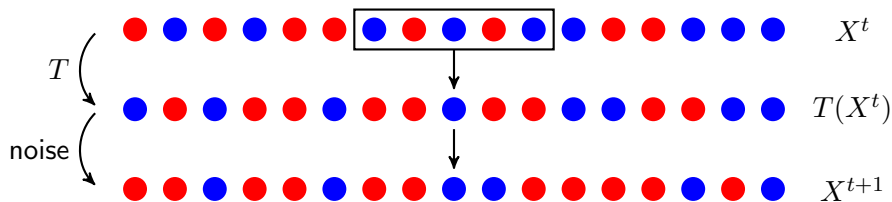
## Surjective CA + additive noise



Theorem [Marcovici, Sablik, T. (2019) and T. (2021)]

Every perturbation of a surjective CA with a positive additive noise is ergodic with the uniform Bernoulli measure as its invariant measure. [Convergence is exponentially fast!]

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A reversible CA-like computer subject to noise rapidly forgets all the information in its input/software!

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- ▶ The state of any region of size  $n$  mixes in  $O(\log n)$  steps.
- ▶ A finite parallel reversible computer with  $n$  noisy components mixes in  $O(\log n)$  steps. [Very limited computational power!]  
[cf. Aharonov, Ben-Or, Impagliazzo, Nisan (1996)]

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## Practical implication

In order to implement noise-resilient (CA-like) computers, some degree of irreversibility is necessary.

[see Bennett (1982) and Bennett and Grinstein (1985)]



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Proof idea.

Ergodicity is due to the accumulation of information.

Use **entropy** to measure the amount of information. □

The **entropy** of a discrete random variable  $A$  is

$$H(A) := - \sum_a \mathbb{P}(A = a) \log \mathbb{P}(A = a) .$$

It measures the average information content of  $A$ .

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Proof ingredients.

- a) A surjective CA does not “**erase**” entropy, only “**diffuses**” it.
- b) Additive noise **increases** entropy. [Sharp estimate needed!]

For each **finite set of sites**  $J$  and each **time step**  $t \geq 0$ , we find

$$H(X_J^t) \geq [1 - (1 - \kappa)^t] |J| \bar{h} - O(|\partial J|)$$

where  $\bar{h} := \log |\Sigma|$  is the maximum capacity of a single site.

- c) A **bootstrap** lemma



# Surjective CA + zero-range noise

Theorem [Marcovici, T. (2021?)]

A perturbation of a surjective CA with a positive zero-range noise is ergodic provided that both the CA and the noise preserve the same Bernoulli measure.

Proof idea.

Use **pressure** instead of entropy.

Use a characterization of when a surjective CA preserves a Bernoulli measure [Kari, T. (2015)]. □

The **pressure** of a discrete random variable  $A$  w.r.t. an energy functional  $f$  is

$$\Psi_f(A) := H(A) - \mathbb{E}[f(A)] .$$

It can be thought of as a contorted version of entropy.

# PCA with Bernoulli invariant measure

Theorem [Marcovici, T. (2021?)]

Every positive-rate PCA that has a Bernoulli invariant measure is ergodic.

[Same true for positive-rate IPS!]

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## Remarks on related results

- ▶ This simultaneously extends:
  - i) The above result on the ergodicity of surjective CA + noise
  - ii) An earlier partial result by [Vasilyev \(1978\)](#)
- ▶ The **entropy method** goes back to Boltzmann. Its applications for lattice systems were pioneered by:
  - Holley (1971), Holley and Stroock (1976) for IPS
  - Kozlov and Vasilyev (1980) for PCA
- ▶ With the exception of Holley and Stroock (1976), the **entropy method** has been limited to **shift-invariant** starting measures. [Our result doesn't have this limitation.]

# Entropy method for Markov processes

As a warm-up, consider the ...

## Convergence theorem of Markov chains

A **finite-state** Markov chain is **ergodic**  
provided that it is **irreducible** and **aperiodic**.

[Convergence is exponentially fast!]

## Different proofs

- ▶ Using Perron–Frobenius theory
- ▶ Using a coupling argument
- ▶ ...
- ▶ Entropy method

[Goes back to Boltzmann!]

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## Entropy (review)

The **entropy** of a discrete random variable  $A$  taking values in a finite set  $\Sigma$  is

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[... for a suitable definition of conditional entropy  $H(B | A)$ ]
- ▶ (continuity)  $H(A)$  is continuous.  
[... as a function of the distribution of  $A$ ]

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If  $M < \log |\Sigma|$ , then by **compactness** and **continuity**, we can find  $A \xrightarrow{\theta} B$  with  $H(A) = H(B) < \log |\Sigma|$ , a contradiction.  $\square$

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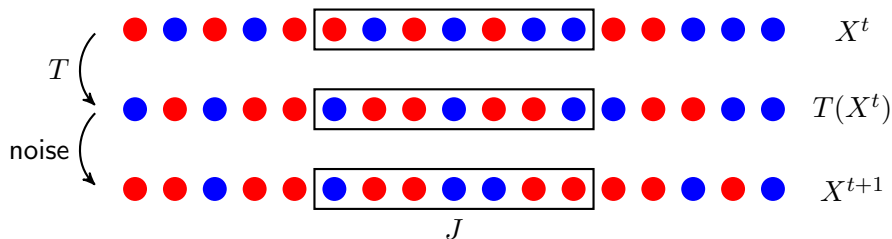
## Proof of exponential convergence.

It follows from Fact II' that

$$H(X^t) \geq \log |\Sigma| - \underbrace{(1 - \kappa)^t [\log |\Sigma| - H(X^0)]}_{\rightarrow 0} . \quad \square$$



## Entropy method for surjective CA + additive noise



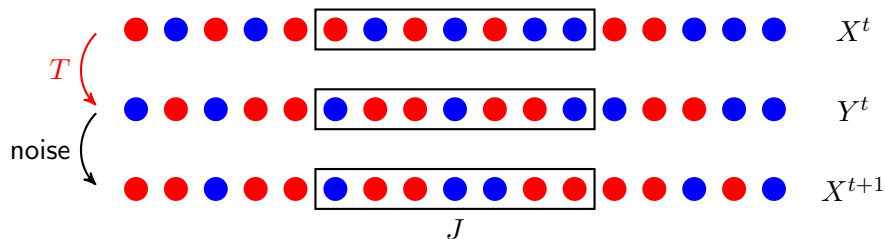
### Note

- ▶ The uniform Bernoulli measure is stationary.
- ▶ In order to prove ergodicity, it is enough to show that for every **finite set of sites**  $J$ ,

$$H(X_J^t) \rightarrow |J| \bar{h} \quad \text{as } t \rightarrow \infty$$

where  $\bar{h} := \log |\Sigma|$  is the maximum capacity of each site.

## Entropy method for surjective CA + additive noise

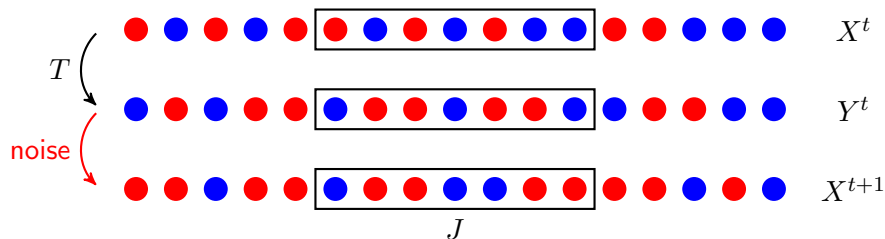


### Effect of a surjective CA

A surjective CA does not “erase” entropy, only “diffuses” it:

$$H(Y_J^t) \geq H(X_J^t) - O(|\partial J|)$$

## Entropy method for surjective CA + additive noise



### Effect of a surjective CA

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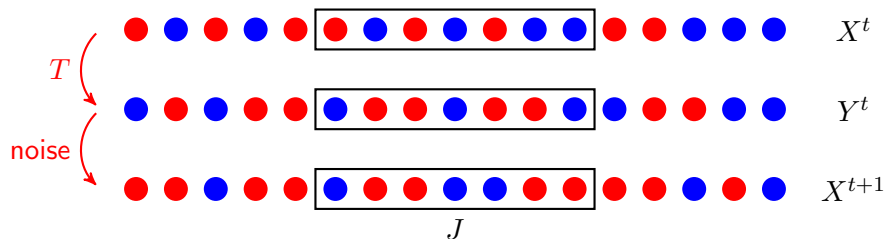
$$H(Y_J^t) \geq H(X_J^t) - O(|\partial J|)$$

### Effect of additive noise

Additive noise **increases** entropy:  $\exists$  constant  $0 < \kappa \leq 1$  s.t.

$$H(X_J^{t+1}) \geq \kappa |J| \hbar + (1 - \kappa)H(Y_J^t)$$

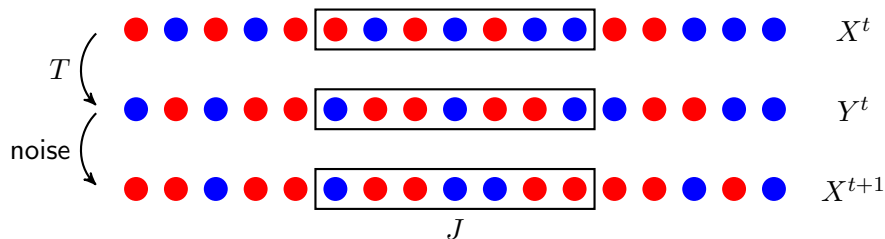
# Entropy method for surjective CA + additive noise



Combined effect

$$H(X_J^{t+1}) \geq \kappa |J| \bar{h} + (1 - \kappa) H(X_J^t) - O(|\partial J|).$$

# Entropy method for surjective CA + additive noise



Combined effect

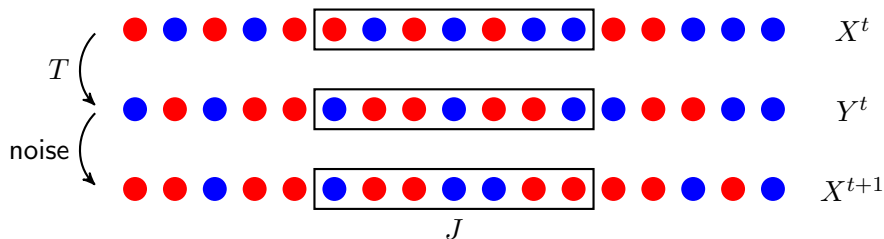
$$H(X_J^{t+1}) \geq \kappa |J| \bar{h} + (1 - \kappa) H(X_J^t) - O(|\partial J|).$$

which implies

$$H(X_J^t) \geq [1 - (1 - \kappa)^t] |J| \bar{h} - O(|\partial J|).$$

for each  $t \geq 0$ .

# Entropy method for surjective CA + additive noise



Combined effect

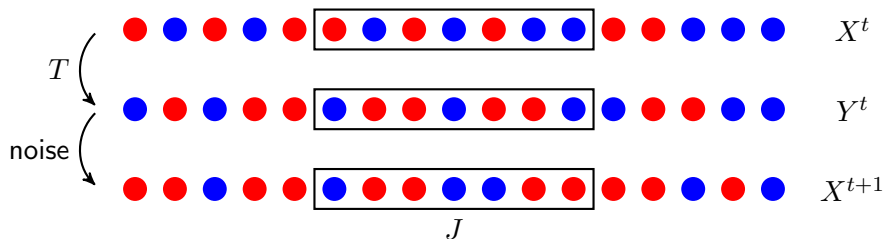
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# Entropy method for surjective CA + additive noise



Combined effect

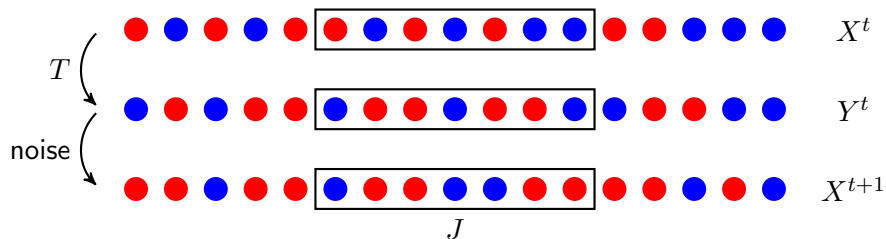
$$H(X_J^{t+1}) \geq \kappa |J| \hbar + (1 - \kappa) H(X_J^t) - O(|\partial J|).$$

which implies

$$H(X_J^t) \geq \underbrace{[1 - (1 - \kappa)^t]}_{\rightarrow 1} |J| \hbar - \overbrace{O(|\partial J|)}^{\text{relatively smaller}}.$$

for each  $t \geq 0$ .

# Entropy method for surjective CA + additive noise

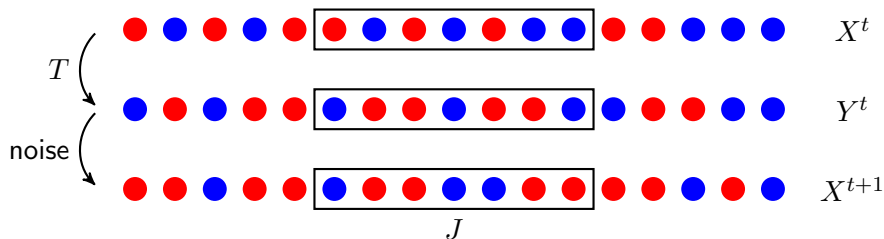


Evolution of entropy

$$H(X_J^t) \geq [1 - (1 - \kappa)^t] |J| \bar{h} - O(|\partial J|).$$



# Entropy method for surjective CA + additive noise



Evolution of entropy

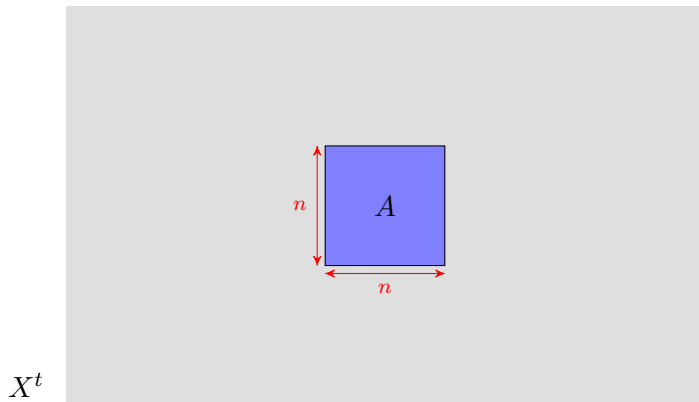
$$H(X_J^t) \geq [1 - (1 - \kappa)^t] |J| \bar{h} - O(|\partial J|).$$

In particular:

$$\underbrace{|J| \bar{h} - H(X_J^t)}_{\Xi(X_J^t)} \leq O(|\partial J|) \quad \text{for all } t \geq a \log \frac{|J|}{O(|\partial J|)} + b$$

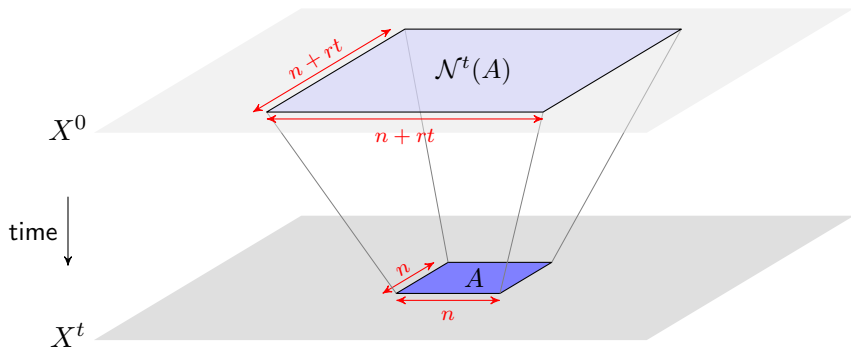
↖ missing entropy

# Bootstrapping



$$\mathbb{E}(X_A^t) \leq O(n^{d-1}) \quad \text{for all } t \geq O(\log n)$$

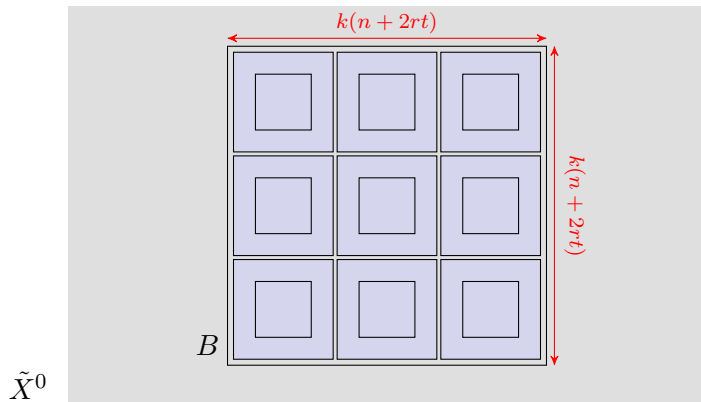
# Bootstrapping



## Note

The restriction of  $X^t$  to  $A$  depends only on the restriction of  $X^0$  to  $\mathcal{N}^t(A)$ , where  $\mathcal{N} = [-r, r]^d$  is the neighbourhood of the local rule.

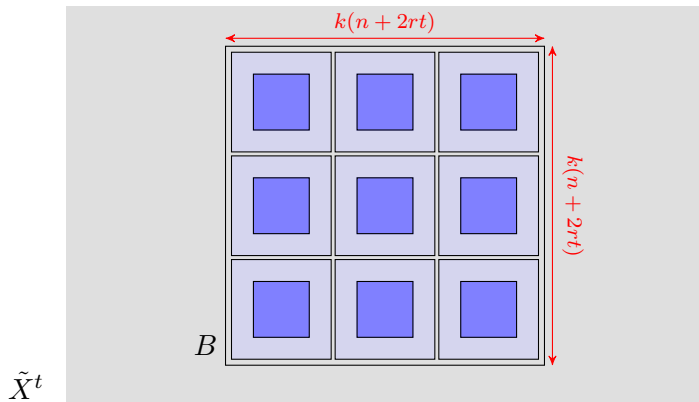
# Bootstrapping



Choose  $\tilde{X}^0$  such that

$\tilde{X}_B^0$  contains  $k^d$  **independent** copies of  $X_{\mathcal{N}^t(A)}^0$ .

# Bootstrapping



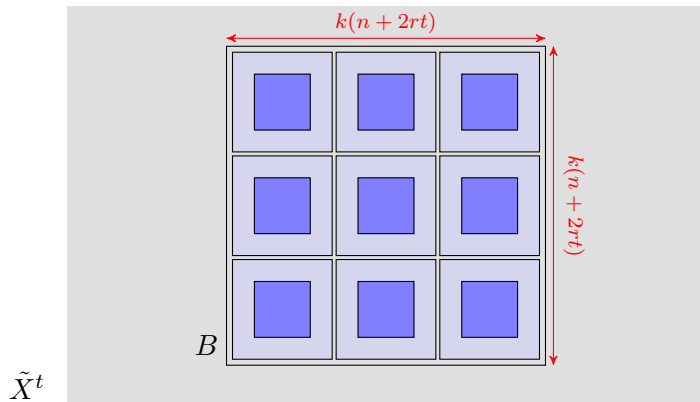
Choose  $\tilde{X}^0$  such that

$\tilde{X}_B^0$  contains  $k^d$  independent copies of  $X_{\mathcal{N}^t(A)}^0$ .

Then,

$\tilde{X}^t$  will contain  $k^d$  independent copies of  $X_A^t$  inside  $B$ .

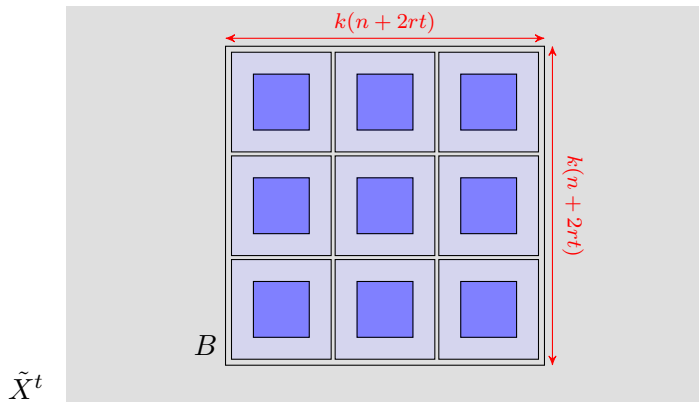
# Bootstrapping



It follows that

$$k^d \Xi(X_A^t) \leq \Xi(\tilde{X}_B^t)$$

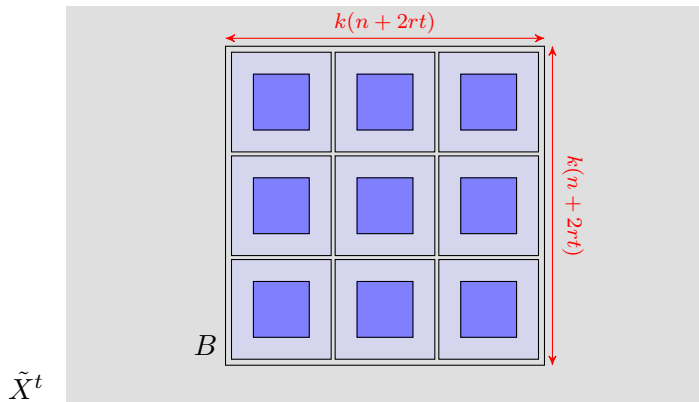
# Bootstrapping



It follows that, if  $t \geq O(\log[k(n + 2rt)])$ ,

$$k^d \Xi(X_A^t) \leq \Xi(\tilde{X}_B^t) \leq O([k(n + 2rt)]^{d-1})$$

# Bootstrapping



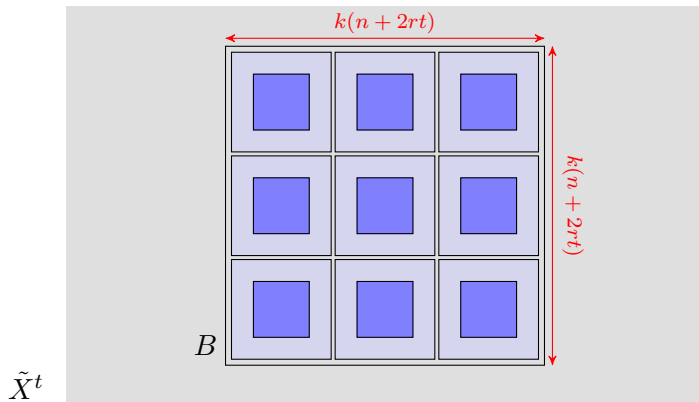
It follows that, if  $t \geq O(\log[k(n + 2rt)])$ ,

$$k^d \Xi(X_A^t) \leq \Xi(\tilde{X}_B^t) \leq O([k(n + 2rt)]^{d-1})$$

Now, given  $t \geq 0$ , choose  $k := e^{ct}$  for  $c > 0$  small.



# Bootstrapping



## Conclusion

For every  $t \geq 0$  large enough,

$$\Xi(X_A^t) \leq O\left(\underbrace{(n + 2rt)^{d-1} e^{-ct}}_{\rightarrow 0}\right)$$



# Conclusion

## Summary

A strictly reversible **CA-like** computer cannot be reliable in the presence of noise.

## Question 1

What about a reversible **TM-like** computer?

## Question 2

What about a **quantum** computer?

## Question 3

How much irreversibility is needed to perform reliable computation?

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Thank you for your attention!